

**How to Invest**

1. Please send the completed Application Form to Catalyst Investment Managers at fax to email number **+264 833308081** or emailed to [units@catalyst.com.na](mailto:units@catalyst.com.na)
2. Cut off times for receiving transactions is **11:00 (Namibian Time)**.

**Details**

Existing Investor  Client Number

**Investor**

Names / Entity Name / Co. Registered Name \_\_\_\_\_  
 ID/ Registered Number \_\_\_\_\_  
 Telephone \_\_\_\_\_ Telephone (W) \_\_\_\_\_  
 Cell \_\_\_\_\_ Fax \_\_\_\_\_  
 Email Address \_\_\_\_\_

**Acting on Behalf of Investor\* / Joint Investor\*\***

- \* This is for Guardians/ persons with Powers of Attorney
- \*\* This is for second name if joint registration of investment

Title \_\_\_\_\_ Surname \_\_\_\_\_  
 First Name(s) \_\_\_\_\_ Male \_\_\_\_\_ Female \_\_\_\_\_  
 Date of Birth \_\_\_\_\_ Nationality \_\_\_\_\_  
 Identity Number or Passport (if no Namibian ID) \_\_\_\_\_  
 Income Tax Number \_\_\_\_\_  
 Physical Address \_\_\_\_\_  
 \_\_\_\_\_ Post Code \_\_\_\_\_  
 Postal Address \_\_\_\_\_ Post Code \_\_\_\_\_  
 Telephone (H) \_\_\_\_\_ Telephone (W) \_\_\_\_\_  
 Cell \_\_\_\_\_ Fax \_\_\_\_\_  
 Email Address \_\_\_\_\_  
 Capacity \_\_\_\_\_

**Switch Options**

Please select the appropriate fund and the number of units, or percentage or rand value to be switched.

From Unit Trust Fund Name	Units or Amount or Percentage	To Unit Trust Name	Additional Annual Advisor Fee <small>(Paid by the Investor to the advisor by sale of units)</small>	Units or Amount or Percentage	Distributions* (please tick)	
					Re-invest	Pay out

**Change of Debit Order Instructions (if applicable)**

My debit order on this account it to:

1.  Remain unchanged for the fund from which I am switching (for partial switches)

**OR**

2.  Be cancelled from  /  /

3.  Be changed to the fund into which I am switching to at R

**Appointment of Financial Advisor and Fees**

I, the appointed Financial Advisor for this investment application declare as follows:

Name of Financial Advisor \_\_\_\_\_

Name of Financial Services Provider \_\_\_\_\_

NAMFISA License Number \_\_\_\_\_

The manager's Financial Services Provider code (to be obtained from the manager) \_\_\_\_\_

VAT vendor status: Registered  Not Registered

VAT Number \_\_\_\_\_

**I declare that:**

- 1. I am licensed to render services in respect of this product
- 2. I have made the disclosure required in terms of the Financial Advisory and Intermediary Services Act 37 of 2002 (FAIS) and subordinate legislation thereto, to the investor/s.
- 3. I have fully explained the meaning and implications of replacement (if applicable) to the investor/s and that I am fully aware of the possible detrimental consequences of replacement.
- 4. I have established and verified the identity of the investor/s (and persons acting on behalf of the investor) in accordance with the Financial Intelligence Act, 2007 (Act No. 3 of 2007), and the regulations thereto, and I will keep records of such identification and verification according to the provisions of FIA.
- 5. I have explained all fees that relate to this investment to the investor/s and I understand and accept that the investor/s may withdraw his / her authority for payment to me in writing and inform the manager.
- 6. My personal information may be used by the manager in the normal course of business to provide the products and services and the manager may retain any information for purposes of investment transactions, processing and administration and to communicate directly with me. Personal information will not be given or sold to any third parties. The manager will disclose or report personal information if and when required to do so by law or any regulatory authority, and to our employees, or agents who require such information to carry out their duties.

Signature of Investor \_\_\_\_\_ Date \_\_\_\_\_

Signature of financial advisor \_\_\_\_\_ Date \_\_\_\_\_

**Special Instructions**

**Authorisation and Declaration**

I hereby acknowledge that the same terms and conditions that are applicable to my original investment apply to this investment.

Signed at \_\_\_\_\_ Date \_\_\_\_\_

1. Full Name of Signatory \_\_\_\_\_ Capacity \_\_\_\_\_

Signature of Investor/Legal Guardian \_\_\_\_\_

2. Full Name of Signatory \_\_\_\_\_ Capacity \_\_\_\_\_

Signature of Investor/Legal Guardian \_\_\_\_\_

## Unit Trust Portfolios - Summary Sheet

Fund Name	Fund Category	Risk Rating	Investment Objective	Benchmark	Reg 28 Compliant?	Income Distributions	Annual Management Fees <i>(The manager's charge excluding VAT)</i>	Annual Advisor Service Fee <i>(included in the annual management fee)</i>	Maximum Initial Advisor Fee
Catalyst Cash Enhanced Fund – Hangala Prescient	Income Fund	Conservative	The Fund aims to achieve returns above the benchmark (IBCR), while minimising the risk of any underperformance. At the same time, it aims to maintain capital stability and liquidity.	IJG Money Market Index		Quarterly	1%	0%	3.00%
Catalyst Cautious Balance Fund – Hangala Prescient	Multi asset low equity	Moderate	The portfolio invests in a balanced mix of domestic asset classes including equities, bonds, cash and financial instruments. The mandate is subject to Regulation 28 guidelines and represents our best investment view. The aim of the portfolio is to grow purchasing power over time and is thus benchmarked against inflation. Protection strategies may be included to reduce market downside.	Namibian CPI+3%	Yes	Semi-Annually	1.25%	0%	3.00%

Current TER's are reflected in the Fact Sheet of the specific Portfolio.